Dear [Name],

It was great speaking with you earlier! I'm so grateful for your trust and I am honored to know you would like Carnegie Private Wealth to manage your accounts. I am excited about the amazing resources available to us now that I've joined this independent firm with the support of LPL Financial, the nation's largest independent broker-dealer.*

We're currently in the process of setting up our new systems so the transition process can be as smooth as possible for you. One of our team members will be following up with you soon to address any questions or concerns you may have about this transition and gather the necessary account information. I'm including a list of information (below) that would be helpful for you to have on hand during your call. If you do not have it, the team will be happy to assist you.

Please do NOT send this information via email – your security is a top priority, so we will get everything we need the next time we talk. The following list is simply to help you feel more prepared for our conversation and to help facilitate a smooth transition of your accounts so we can continue to manage them with the care and attention you are used to.

Helpful information to have available:

- Statements for each account, OR account titles, numbers, and values for each account transferring (ex: John Doe IRA or John Doe & Jane Doe JWROS)
- Personal information for each account holder (name, social security number, date of birth)
- Contact information for each account holder (address, email, phone number)
- Entity/Trust information, if transferring a Trust or LLC account (Entity name, Tax ID, date established)
- Beneficiary information (name, relationship, DOB)

Thank you again for your support and trust. I look forward to speaking with you again soon. In the meantime, feel free to explore our new website, www.CarnegiePrivateWealth.com.

Sincerely,

Jeff Vandiver
Managing Director, Senior Wealth Advisor
—
Jeff.Vandiver@CarnegiePW.com
(704) 733-6884

Click here to view information about LPL Financial.

Click here for FINRA's educational communication regarding factors to consider in determining whether to transfer your assets.

*As reported by Financial Planning magazine, June 1996-2022, based on total revenue.

Invested in what matters.

CARNEGIE
PRIVATE WEALTH

6101 Carnegie Blvd, Suite 520
Charlotte, NC 28209
(704) 733-6880
info@carnegiepw.com